# STATEMENT OF FINANCIAL INTEREST

State/District officials file with: Mark Martin, Secretary of State State Capitol, Room 026 Little Rock, AR 72201 Phone (501) 682-5070 Fax (501) 682-3548

Calendar year covered 2011 (Note: Filing covers the previous calendar year)

Is this an amendment?  $\square$  Yes  $\nearrow$  No

For assistance in completing this form contact: Arkansas Ethics Commission Post Office Box 1917 Little Rock, AR 72203 Phone (501) 324-9600

Toll Free (800) 422-7773 Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. SECTION 1- NAME AND ADDRESS Name (Middle) 223 (Street or P.O. Box Number) (State) (Zip Code) Spouse's name (Last) (Middle) All names under which you and/or your spouse do business: SECTION 2- REASON FOR FILING FILED Public Official \_\_\_\_\_ (office held) JAN 3 1 2012 Candidate \_\_\_\_\_ (office sought) mrkansas District Judge Secretary of State (name of municipality) City Attorney (name of city) State Government: Agency Head/Department Director/Division Director (name of agency/department/division) Chief of Staff or Chief Deputy (name of Constitutional Officer, Senate, or House of Representatives) K Public appointee to State Board or Commission Al Board of School Board member (name of school district) Candidate for school board \_\_\_ (name of school district) Public or Charter School Superintendent (name of school district/school) П Executive Director of Education Service Cooperative (name of cooperative) Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission): ☐ Planning board or commission \_\_\_\_\_ ☐ Airport board or commission ☐ Water or Sewer board or commission \_\_\_\_\_ ☐ Utility board or commission \_\_\_ ☐ Civil Service commission \_

## SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a	) Check appropriate box:	☐ More than \$1,000	More than \$1	2,500
			oyer or source of income),	ng
		440	5 E. Roosevelt LR	AR 72206
		:\	(address)	1111 1000
		(name under	which income received)	
	Provide a brief description o		hich the compensation was received <u>School</u>	asy Rent
o)	Check appropriate box:	☐ More than \$1,000	More than \$12	,500
		(Bame of own)	in 8 Inc.	
		(name of emplo	E. Rosseve H. Fo. L. 2.	AR 7220
			(address)	
		(name under s	かんとと which income received)	
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c)	Check appropriate box:	More than \$1,000.		500
		7905 E		AR 72201
			address)	
			Sc. 16—e— hich income received)	
	Provide a brief description of		hich the compensation was received <u>Re</u>	<i>n</i> +
i)	Check appropriate box:	☐ More than \$1,000	☐ More than \$12,5	00
-		/nome of a value		
		(name or employ	er or source of income)	
		(2	address)	
_		(name under wh	ich income received)	
F	Provide a brief description of	the nature of the services for wh	ich the compensation was received	
_			ton the compensation was received	

# SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500
	(name of corporation,	firm or enterprise)
· .	(addre	ess)
	(лате under which	investment held)
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500
	(name of corporation,	firm or enterprise)
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	(name under which i	investment held)
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	(name of corporation, fi	rm or enterprise)
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	(name under which in	vestment held)
Check appropriate box:	☐ More than \$1,000	☐ More than \$12,500
	(name of corporation, fi	rm or enterprise)
	(address	3)
	(name under which in	vestment held)

#### SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or	your spouse in any business.	, corporation, firm, or ent	erprise subject to jurisdiction of a
regulatory agency of this State, or of any of its	political subdivisions.		. , ,

a)	
	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
	(name of office holder)
b)	
	(name of business, corporation, firm, or enterprise)
	(address)
	(office or directorship held)
	(name of office holder)
SECT	TION 6- CREDITORS
SECI	TION 6- CREDITORS
outsta	ach creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still nding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a still institution or a person who regularly and customarily extends credit.)
a)	(name of creditor)
b)	(address of creditor)
_	(name of creditor)
c)	(address of creditor)
بر	(name of creditor)
	(address of creditor)
SECT	ION 7- GUARANTOR OR CO-MAKER
List ea extend	ch guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or ed and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)
a)	
-	(name)
Ы	(address)
υ) <u> </u>	(name)
	(address)

#### **SECTION 8- GIFTS**

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a)			
-		(description of gift)	
	(date)		(fair market value)
-		(source of gift)	
b)			
		(description of gift)	
	(date)		(fair market value)
		(source of gift)	
c)			
,		(description of gift)	
	(date)		(fair market value)
		(source of gift)	
d)			
		(description of gift)	
	(date)		(fair market value)
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	(date)		(fair market value)
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n			
		(description of gift)	
	(date)		(fair market value)
-		(source of gift)	
g)		(description of gift)	
		(description of giπ)	
	(date)		(fair market value)
		(source of gift)	

#### **SECTION 9- AWARDS**

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive lifelong learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

u)			
		(description of award)	
<u></u> -	(date)		(fair market value)
		(source of award)	
	•	,	
o)		(description of award)	
	(date)		(fair market value)
	<del></del>	(source of award)	
,		·	
)		(description of award)	
	(date)		(fair market value)
<del></del>		(source of award)	
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		(description of award)	
	(date)		(fair market value)
		(source of award)	
ECTION	I 10- NONGOVERNMENTAI	L SOURCES OF PAYMENT	
			r travel which bears a relationship to your office
hen you a	appear in your official capacity	when the expenses incurred exceed \$150.	r travel which bears a relationship to your office
	,		
ł	,		
		(name of person or organization paying ex	pense)
• • • • • • • • • • • • • • • • • • • •		(business address)	
	(date of expense)		\$ (amount of expense)
	(bate of expense)		(amount of expense)
		(nature of expenditure)	
		(name of person or organization paying exp	pense)
		(address)	_
<del></del>	(date of expense)		\$ (amount of expense)
			(amount of expense)
		(nature of expenditure)	· · · ·

## SECTION 11- DIRECT REGULATION OF BUSINESS

	(name of business)
-	(governmental body which regulates or controls)
	(name of business)
	(governmental body which regulates or controls)
	•
<u>.</u>	(name of business)
	(governmental body which regulates or controls)
	(name of business)
	(governmental body which regulates or controls)
sation paid for each der owning more the	FO GOVERNMENTAL BODY  and to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the category of goods or services sold by you or any business in which you or your spouse is an officer, director, or an 10% of the stock of the company.
sation paid for each ider owning more th	old to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the category of goods or services sold by you or any business in which you or your spouse is an officer, director, or
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The law provides for a maximum penalty of \$2,000 per violation and/or imprisonment for not more than one year for any person who knowingly or willfully fails to comply with the provisions of A.C.A.§ 21-8-401 through § 21-8-804. This report constitutes a public record. This form has been approved by the Arkansas Ethios Commission.

Revised 08/09

SECTION 13- SIGNATURE

1

I certify under penalty of false swearing that the above information is true and correct.

} ss

ignature

STATE OF ARKANSAS

COUNTY OF Deffers

Subscribed and sworn before me this

3/st day of\_

,20 12

Notary Public

My commission expires:

2-24-2019

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

#### **IMPORTANT**

#### Where to file:

State or district candidates/public servants file with the Secretary of State.

County, township, and school district candidates/public servants file with the county clerk.

Municipal candidates/public servants file with the city clerk or recorder, as the case may be.

Municipal judges and city attorneys file with the city clerk of the municipality in which they serve.

Members of regional boards or commissions file with the county clerk of the county in which they reside.

## **General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the <u>previous</u> calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.